

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.:

09/896,546

Application of:

Albert, et al.

Confirmation No.:

3669

Filed:

June 29, 2001

Group Art Unit:

2673

Examiner:

Lewis, David Lee

Attorney Docket No.:

INK-022CN2

Customer No.:

26245

Cambridge, Massachusetts March 30, 2005

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

Sir:

This Information Disclosure Statement is filed to make of record US and foreign patents, and non-patent references as listed on the attached Forms PTO-1449. In accordance with MPEP 609-III A(2), no copies of references are being supplied herewith, since all references are readily available to the examiner in the file for the related application serial numbers 09/140,988 and 09/513,411 (US Patent Numbers 6,262,706 and 6,249,271 respectively).

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Respectfully submitted

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Complete if Known Substitute for form 1449A/PTO 09/896,546 **Application Number** INFORMATION DISCLOSURE Filing Date June 29, 2001 STATEMENT BY APPLICANT First Named Inventor Albert Use as many sheets as necessary) Art Unit 2673 **Examiner Name** Lewis, David Lee Attorney Docket Number **INK-022CN2**

	UNITED STATES PATENT DOCUMENTS				
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Examiner Initials*	Cite No.1	Number - Kind Code (if known)	MM-DD-YYYY	Document Document	T ²
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

'Applicant's unique citation designation number (optional). 'Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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	BAT	59098227	06/06/84	JP	<u>† </u>	1	Ì	Y	Y
	BAU	60189731	09/27/85	ЛР			<u>† </u>	Y	Y
	BAV	62058222	03-13-87	ЛР	 		\ 	Y	Y
	BAW	62231930	10/12/87	JP		1		Y	Y

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BAX	01086116	03/30/89	JP				Y
BAY	01267525	10/25/89	ЛР			Y	Y
BAZ	05173194	07/13/93	ЛР			Y	Y
BBA	6089081	03/29/94	ЛР			Y	Y
BBB	09230391	09/05/97	JP			Y	Y
BBC	62269124	11/21/87	JР			Y	Y
BBD	62299824	12/26/87	JР			Y	Y
BBE	82/02961	09/02/82	wo			· · · · · · · · · · · · · · · · · · ·	Y
BBF	92/17873	10/15/92	WO				Y
BBG	94/24236	10/27/94	wo				Y
ВВН	95/02636	01/26/95	wo				Y
BBI	95/05622	02/23/95	WO				Y
BBJ	95/15363	06/08/95	wo				Y
BBK	95/19227	07/20/95	WO			Y	A
BBL	95/33085	12/07/95	wo	1			¥
BBM	1 465 701	03/02/77	UK				Y Y
BBN	2 044 508	10/15/80	UK				1
Bi	195 00 694 A1	8/8/96	DE		1/12/95		A
B2	0 240 063 B1	10/7/87	EP		3/20/87		P
В3	0 268 877 A3	6/1/88	EP		9/14/82	 	7
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 B5	0 325 013 A1	7/26/89	EP		1/20/88		Υ
B6	0 325 013 B1	7/26/89	EP		1/20/88		Y
B7	0 361 420 A2	4/4/90	EP		9/27/89		Y
B8	0 375 005 B1	6/27/90	EP		12/5/89		N
B9	0 396 937 A2	11/14/90	EP		4/16/90		Y
B10	0 404 545 A2	12/27/90	EP		6/20/90		Y
B11	0 408 105 B1	1/16/91	EP		6/29/90		N
B12	0 442 123 A1	8/21/91	EP		12/21/90		Y
B13	0 525 852 A1	2/3/93	EP		7/2/92		Y
B14	1 314 906	4/26/73	GB		6/12/69	•	Y
B15	2 306 229 A	4/30/97	GB		9/9/96		Y
B16	53-73098	6/29/78	JP .		12/13/76		Y
B17	54111368	8/31/79	JP		2/20/78	Abstract	Y
B18	55096922	7/23/80	JP		1/19/79	Abstract	Y
B19	01177517	7/13/89	JP		1/6/88	Abstract	Y
B20	01086118	3/30/89	JP		6/1/88	Abstract	Y
B21	07036020	2/7/95	JP		7/19/93	Abstract	Y
B22	08234176	9/13/96	JP		2/24/95	Abstract	Y
B23	64 86116	3/30/89	JP		9/29/87		Y
B24	5-61421	3/12/93	JP		8/29/91		Y
 B25	6-202168	7/22/94	JP		12/28/92		Y
B26	9-6277	1/10/97	JР		6/26/95		Y
B27	9-185087	7/15/97	1Þ		12/28/95		Y
B28	10-48673	2/20/98	1b		8/6/96		Y
B29	10-149118A	6/2/98	JP		11/21/96	Abstract	Y
B30	10-161161	6/19/98	JP		12/4/96		Y
B31	950181574	2/4/97	JP		7/18/95	Abstract	Y
B32	WO 95/27924	10/19/95	PCT		4/4/95		Y
B33	WO 97/04398	2/6/97	PCT		7/16/96		Y

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	B44	2551783	8/22/96	JP			9/29/87		Y
	B45	WO 97/01165	1/9/97	PCT			6/24/96		Y
	B46	WO 97/01166	1/9/97	PCT			6/24/96		Y
	B47	9031453A	2/4/97	JP			7/18/95	Abstract	Y
	B48	9-211499	8/15/97	JP			1/30/96		Y
	B49	10072571A	3/17/98	JP			4/17/97	Abstract	Y
	B50	2 324 273 A	10/21/98	GB			8/7/95		Y
	B51	WO 99/10767	3/4/99	PCT			8/27/98		Y
	B52	WO 99/10768	3/4/99	PCT			8/27/98		Y
	B53	WO 99/10769	3/4/99	PCT			8/27/98		Y
	B54	WO 99/24715	5/20/99	PCT			11/3/98	In English	N
	B55	11212499	8/6/99	JP			1/23/98	Abstract	Y
	B56	11219135	8/10/99	JP			2/3/88	Abstract	Y
	B57	WO 99/47970	9/23/99	PCT			3/18/99		Y
	B58	WO 99/53371	10/21/99	PCT			4/9/99		Y
	B59	WO 99/56171	11/4/99	PCT			4/27/99		Y
	B60	WO 99/65011	12/16/99	PCT			6/7/99		Y
	B61	WO 99/65012	12/16/99	PCT			6/7/99		Y

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	B72	3-053224	Mar.,1991	JP		1	<u> </u>	Υ	Υ
	B73	03096925	Apr., 1991	JP	<u> </u>	1	<u> </u>	Υ	Υ
	B74	3-091722	Apr.,1991	JP	<u> </u>		<u> </u>	Υ	Υ
	B75	4-307523	Oct., 1992	JP			<u> </u>	Υ	Y
	B76	04307512	Oct.,1992	JP		<u> </u>		Y	Y
	B77	04345133	Dec.,1992	JP		 		Υ	Υ
	B78	05165064	Jun., 1993	JP			 	Υ	Υ
	B79	11237851	Aug., 1999	JP		<u> </u>	<u> </u>	Υ	Υ
	B80	11352526	Dec., 1999	JP	Ì	t	<u> </u>	Υ	Υ
	B81	WO 00/10048	Feb., 2000	wo					Υ
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	CAA	Saitoh et al. (1982), "A Newly Developed 249-253.	Electrical Twisting Ball Display", Proceeding of the SID, 23(4):			
	CAB	Vaz et al. (1989), "Dual Frequency Addre 65(12): 5043-5050.	essing of Polymer-Dispersed Liquid-Crystal Films", L. Appl. Phys.,			
	CAC	Lee (1977), "Fabrication Magnetic Partic	les Display", Proceeding of the SID, 18(3&4): 283-288.			

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CAE	Jin et al. (1992), "Optically Transparent, E	lectrically Conductive Composite Medium", Science, 446-448.				
CAF	Sheridon et al. (1977), "The Gyricon - A T	wisting Ball Display", Proceeding of the SID, 18(3&4): 289-293.				
CAG	Hatano et al. (1996), "Bistable Paper-White Digest, 269-272.	e Display Device Using Cholesteric Liquid Crystals", SID 96				
САН	Ota et al., "Electrophoretic Display Device	s", Laser 75 Optoelectronics Conference Proceedings, 145-148.				
CAI	"Microencapsulation with Synthetic Polym Techniques, 65-130.	eric Film Formers", Microcapsules and Microencapsulation				
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CAK	"Capsule Wall Treatment", Microcapsules	and Microencapsulation Techniques, 156-177.				
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CAP	Mürau et al. (1979), "An Electrophoretic F	Radiographic Device", SID 79 Digest, 46-47.				
CAQ	Fitzhenry (1979), "Optical Effects of Adso Displays", <u>Applied Optics</u> , 18(19): 3332-3	orption of Dyes on Pigment Used in Electrophoretic Image 3337.				
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CAV	Pankove (1962), "Color Reflection Type I	Display Panel", RCA Technical Notes, No. 535: 2 sheets.				
CAW	Chiang (1977), "Conduction Mechanism of Devices", Proceeding of the SID, 18(3&4)	of Charge Control Agents Used in Electrophoretic Display 4): 275-282.				

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	CAX	Lewis et al. (1977), "Gravitational, Inter- Display", Proceeding of the SID, 18(3&4	Particle and Particle-Electrode Forces in the Electrophoretic): 235-242.
	CAY	Ota et al., "Developments in Electrophore	etic Displays", Proceeding of the SID, 18(3&4): 243-254.
d .	CAZ	Mürau et al. (1978), "The Understanding Electrophoretic Display", J. Appl. Phys. 4	and Elimination of Some Suspension Instabilities in an \$19(9): 4820-4829.
	СВА	Croucher et al. (1981), "Electrophoretic I Science and Engineering, 25(2): 80-86.	Display: Materials as Related to Performance", Photographic
	CBB	Beilin et al. (1986), "2000-Character Elec	etrophoretic Display", SID 86 Digest, 136-140.
:	CBC	Ji et al. (1996), "P-50: Polymer Walls in SID 96 Digest, 611-613.	Higher-Polymer-Content Bistable Reflective Cholesteric Displays",
	CBD	Goodman (1976), "Passive Liquid Displa Proceeding of the SID, 17(1): 30-38.	ys: Liquid Crystals, Electrophoretics, and Electrochromics",
	CBE	Egashira et al. (1987), "A Solid Electrock Proceeding of the SID, 28(3): 227-232.	nromic Cell Consisting of Lu-Diphthalocyanine and Lead Flouride",
	CBF	Comiskey et al. (1997), "Electrophoretic	Ink: A Printable Display Material", SID 97 Digest, 75-76.
71	CBG	Zollinger (1991), "Structures of Simple I Properties and Applications of Organic I	Di- and Triarylmethine Dyes", in <u>Color Chemistry: Syntheses.</u> byes and Pigments, 2nd, Rev. Edition, VCH, Weinheim, p. 73.

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•	C3	Ackerman, "E Ink of Cambridge Gets Start-Up Funding," December 1997	
₹	C4	Blazo, "10.1/9:00 A.M.: High Resolution Electrophoretic Display with Photoconductor Addressing," SID 82 DIGEST, 1982, (pp. 92-93)	
	C5	Gutcho, "Additional Uses for Encapsulated Products," Microencapsules and Microencapsulation Techniques, (pp. 278-343) date unknown	
	C6	Howe, "MIT Book Would Bind Computer "Ink" to Paper," Boston Globe, 1996, (pp. 31 & 35)	
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	C8	Shiwa et al., "5.6: Electrophoretic Display Method Using Ionographic Technology," SID 88 Digest, 1988, (pp. 61-62)	
	C9	Singer et al., "An X-Y Addressable Electrophoretic Display," Proceeding of the SID, 1977, Vol. 18, Nos. 3 & 4, (pp. 255-266)	
	C10	"Electronic Book is a Whole Library," Sunday Times Newspaper, February 1996	
	C11	Yamaguchi et al., "Equivalent Circuit of Ion Projection-Driven Electrophoretic Display," <u>IEICE</u> <u>Transactions</u> , 1991, Vol. 74, No. 12, (4152-4156)	
	C12	"Electronic Ink' Sign Debuts at JCPenney," Boston Globe, May 1999	
	C13	Flaherty, "What Did Disappearing Ink Grow Up to Be? Electronic Ink," The New York Times, May 1999	
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C21	Peiranski et al. (1983), "Thin Colloidal	Crystals," Physical Review Letters, 50(12):900-903
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	C25	Ballinger, (March 1973) "Magnetic Recording Paper is Erasable," Electronics, , pp. 73-76.		
	C26	Brenn et al., (1996), "Monodisperse Sprays for Various Purposes – Their Production and Characteristics", Part. Syst. Charact., Vol. 13, pp. 179-185.		
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	C30	Drzaic, P. et al. (1998), "44.3L: A Printed and Rollable Bistable Electronic Display", SID 98 Digest pp. 1-3.		
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	C32	Heinzel, J. and C.H. Herz, (1985) "Ink-Jet Printing", Advances in Electronics and Electron Physics, Vol. 65, pp. 91-171.		
	C33	Huang et al., (1997) "Photoluminescence and Electroluminescence of ZnS:Cu Nanocrystals in Polymeric Networks," Applied Physics Letters, Vol. 70, No. 18, pp. 2335-2337.		
	C34	Matsumoto, Shiro and Hidehiko Kobayashi, (June 20-24, 1982) "Generation of Monodispersed Concentric Two Phase Droplets for Encapsulation" ICLASS-82 Reports & Proc. 2 nd Int. Conf. on Liquid Atomization & Spray Systems, pp. 63-66.		
	C35	Matsumoto et al., "Production of Monodispersed Capsules", J. Microencapsulation, Vol. 3, No. 1, 1986, pp. 25-31		
	C36	"Microencapsulation: Processes and Applications", Jan. E. Vandegaer, ed., American Chemical Society Symposium, Chicago, IL, 1973, pp. v-x, 1-180 (published by Plenum Press, New York, 1974)		
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	C41	Luckham, P.F. etc., "The Controlled Flocculation of Particulate Dispersion Using Small Particles of Opposite Charge. II. Investigation of Floc Structure Using a Freeze-Fracture Technique", Colloids and Surfaces, 6 (1983), pp. 83-95		
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	C43	Matsumoto et al., "A Production Process for Uniform-Size Polymer Particles", <u>Journal of Chemical Engineering of Japan</u> , Vol. 22, No. 6, 1989, pp. 691-694		
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